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Sheet 1 of 1

<b>FORM 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)	<b>Docket Number:</b> <b>MS154745.1/40062.65US03</b> <b>Application Number:</b> <b>09/598,814</b>
<b>Applicant:</b> Brian A. LaMacchia et al.	
<b>Filing Date:</b> June 21, 2000 <b>Group Art Unit:</b> 2755 2132	

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>JL</i>		"Decentralized Trust Management", by M. Blaze, J. Feigenbaum, J. Lacy, in Proceedings of the 1996 IEEE Symposium on Security and Privacy, pp. 164-173. Also available as a DIMACS Technical Report. This paper describes PolicyMaker. Available in Postscript at <a href="http://www.research.att.com/~jf/pubs/oakland96proc.ps">http://www.research.att.com/~jf/pubs/oakland96proc.ps</a> .
<i>JL</i>		"Proceedings of the Sixth International World Wide Web Conference", Santa Clara, CA, April 1997, by Y. Chu, J. Feigenbaum, B. LaMacchia, P. Resnick, M. Strauss, REFEREE: Trust Management for Web Applications. Available from <a href="http://www.farcaster.com/papers/www6-referee/index.htm">http://www.farcaster.com/papers/www6-referee/index.htm</a> .
<i>JL</i>		"The Role of Trust Management in Distributed System Security", M. Blaze, J. Feigenbaum, J. Ioannidis, A. Keromytis, Secure Internet Programming: Security Issues for Distributed and Mobile Objects, Lecuture Notes in Computer Science, vol. 1603, Springer, Berlin, 1999, pp. 185-210. Postscript available from <a href="http://www.research.att.com/~jf/pubs/sip99.ps">http://www.research.att.com/~jf/pubs/sip99.ps</a> .
<i>JL</i>		"Managing Trust in an Information-Labeling System", European Transactions on Telecommunications, 8 (1997), pp. 491-501. (Special issue of selected papers from the 1996 Amalfi Conference on Secure Communication in Networks.) Postscript from <a href="http://www.research.att.com/~jf/pubs/ett97.ps">http://www.research.att.com/~jf/pubs/ett97.ps</a> .
<i>JL</i>		"The Evolution of Java Security", by Koved, Nadalin, Neal and Lawson, including information on Java-based systems, IBM Information on KeyNote including
<i>JL</i>		"The KeyNote Trust-Management System" from RFC 2704, at <a href="http://www.cis.upenn.edu/~angelos/keynote.html">http://www.cis.upenn.edu/~angelos/keynote.html</a> ; and "Using the KeyNote Trust Management System" by Matt Blaze, at <a href="http://www.crypto.com/trustmgt/">http://www.crypto.com/trustmgt/</a> .
<i>JL</i>		"Trust management on the World Wide Web", by Khare and Rifkin, at <a href="http://www7.scu.edu.au/programme/posters/1902/com1902.htm">http://www7.scu.edu.au/programme/posters/1902/com1902.htm</a> .
<i>JL</i>		"Compliance Checking in the PolicyMaker Trust Management System", by Blaze, Feigenbaum and Strauss, AT&T Labs-Research.



EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

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<p>FORM 1420* O P E R A T I O N S OFFICE NOV 27 2002</p> <p>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)</p>	Docket Number: MS154745.1/40062.65US03	Application Number: 09/598,814
	Applicant: Brian A. LaMacchia et al.	
	Filing Date: June 21, 2000	Group Art Unit: 2131-2132

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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
<i>JC</i>	WO 99/30217	06/17/99					

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>JK</i>		International Search Report for PCT/US01/16057
<i>JK</i>		International Search Report for PCT/US01/16127
<i>JK</i>		Anand, R. et al., "A Flexible Security Model for Using Internet Content," Proceedings of the 16 <sup>th</sup> Symposium on Reliable Distributed Systems. SRDS '97, Durham, NC, Oct. 22-24, 1997 & Proceedings of the Symposium on Reliable Distributed Systems, Los Alamitos, CA: IEEE Computer Soc, US, Oct. 22, 1997
<i>JK</i>		"Logically Extensible Privilege Control Set," IBM Technical Disclosure Bulletin, IBM Corp., New York, NY, Vol. 34, No. 7B, Dec. 1, 1991.



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